



# Small signal stability region of power systems with DFIG in injection space

Chao QIN, Yixin YU (✉)

**Abstract** The modal analysis method is utilized to study the influence of doubly-fed induction generator (DFIG) on electromechanical oscillations. On this basis, the small signal stability region (SSSR) of power systems with DFIG in injection space is evaluated and the corresponding relationship between SSSR boundary and electromechanical oscillations is analyzed. The effects of the locations of DFIG on SSSR are considered. It is found that the boundary of SSSR consists of several smooth surfaces, which can be approximated with hyper-planes in engineering application. With the integration of DFIG, SSSR becomes smaller, thus indicating the deterioration of the small signal stability of the system. The 11-bus system with four generators is used to illustrate the proposed method.

**Keywords** Doubly-fed induction generator (DFIG), Modal analysis, Electromechanical oscillation, Small signal stability region (SSSR)

## 1 Introduction

In recent years, with the significant increase of wind power penetration in power systems, the influence of the doubly-fed induction generator (DFIG) [1] on small signal stability of power systems have attracted more and more attention. In [2], an analysis on small signal stability of a power system with DFIG was performed considering the influence of rotor speeds and stator resistances. The model

of DFIG for small signal stability analysis was presented in [3] and the particle swarm optimization (PSO) method was adopted to optimize the controller parameters. Reference [4] described different modeling methods of DFIG and provided relevant analysis results of small signal stability. Reference [5] investigated the impacts of different operation modes of DFIG on small signal stability of power systems. The influences of the increasing penetration of DFIG on inter-area oscillation in a small typical power system were illustrated in [6]. Reference [7] took the 11-bus system with four generators as an example and developed a control scheme for the DFIG to damp inter-area oscillations. Reference [8] showed that the integration of DFIG improved the system response to small disturbances, but DFIG could absorb a large amount of reactive power after large disturbances, which affects the stability of power systems. An optimal model for controller parameters of DFIG was presented in [9] and an optimization algorithm was used to determine parameters of the exciter and pitch angle controllers of DFIG. Most researches adopt the eigenvalue analysis method to study the small signal stability of DFIG, and some of the conclusions were validated through time domain simulation. Giving the frequency and damp ratio information of oscillation modes as well as attenuation speed information of non-oscillation modes, the eigen-value analysis method reveals the mechanism of small signal stability. However, as in the scope of “point-wise” method, it can only analyze small signal stability of the power system under specified operating conditions, such as load level, power generation dispatching, etc. Once the operating conditions change, the results have to be recalculated. In recent years, the security region method [10] in power injection space is developed and applied to security and stability analysis as well as online monitoring of power systems. It overcomes the defects of “point-wise” methods and makes real-time

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security monitoring, assessment, control, and power system pricing more scientific and efficient. Besides, problems like the impact of wind power integration on power system security region, and whether the security region method can be applied to the security and stability analysis as well as power system monitoring with wind power deserve further study.

This paper investigates the influence of DFIG on electromechanical oscillations. On this basis, the small signal stability region (SSSR) of the power system with DFIG is calculated, and the relationship between SSSR boundary and electromechanical modes and the influence of DFIG on SSSR are analyzed. It is suggested to approximate the boundary of SSSR with hyper-planes in engineering application. The 11-bus system with four generators is used to illustrate the proposed method in the paper.

## 2 DFIG model

The stator side of DFIG is directly linked to the grid while the rotor side is connected to the grid through a double-pulse width modulation (PWM) inverter. The active and reactive powers of DFIG can be controlled by  $d$ - $q$  components of rotor current through the machine-side inverter, and the grid-side inverter is used to control the DC voltage and reactive power exchange between the rotor side of DFIG and the grid. Figure 1 shows the schematic diagram of a DFIG system. The DFIG model is shown in [11].

## 3 SSSR of power systems

Small signal stability enables a power system to remain synchronism on small and instantaneous disturbances [12]. Therefore, studies on small signal stability of a power system focus on the conditions close to the system operating equilibrium. Usually, power systems are modeled as a set of nonlinear differential algebraic equations (DAE):

$$\begin{cases} \dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{y}, \mathbf{p}) \\ \mathbf{0} = \mathbf{g}(\mathbf{x}, \mathbf{y}, \mathbf{p}) \end{cases} \quad (1)$$

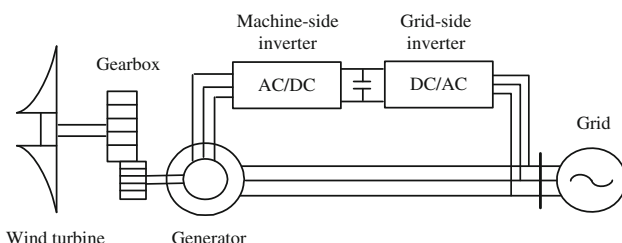


Fig. 1 Schematic diagram of a DFIG system

where  $\mathbf{x} \in \mathbf{R}^n$  is the state variable vector of the power system;  $\mathbf{y} \in \mathbf{R}^m$  is the algebra variable vector of the power system;  $\mathbf{p} \in \mathbf{R}^p$  is the decision variable vector or parameter variable vector, such as power injections, control parameters, etc.;  $\mathbf{f}(\mathbf{x}, \mathbf{y}, \mathbf{p})$  is the right-hand side of the differential equation, which describes the dynamics of power systems; and  $\mathbf{g}(\mathbf{x}, \mathbf{y}, \mathbf{p})$  is the algebraic equation corresponding to power flow function.

At the equilibrium point  $(\mathbf{x}_0, \mathbf{y}_0)$ , the DAE of a power system can be linearized as

$$\begin{cases} \Delta \dot{\mathbf{x}} = \frac{\partial \mathbf{f}}{\partial \mathbf{x}} \Delta \mathbf{x} + \frac{\partial \mathbf{f}}{\partial \mathbf{y}} \Delta \mathbf{y} \\ \mathbf{0} = \frac{\partial \mathbf{g}}{\partial \mathbf{x}} \Delta \mathbf{x} + \frac{\partial \mathbf{g}}{\partial \mathbf{y}} \Delta \mathbf{y} \end{cases} \quad (2)$$

Supposing that matrix  $\partial \mathbf{g} / \partial \mathbf{y}$  is nonsingular, (2) can be expressed as

$$\Delta \dot{\mathbf{x}} = \mathbf{J}(\mathbf{p}) \Delta \mathbf{x} \quad (3)$$

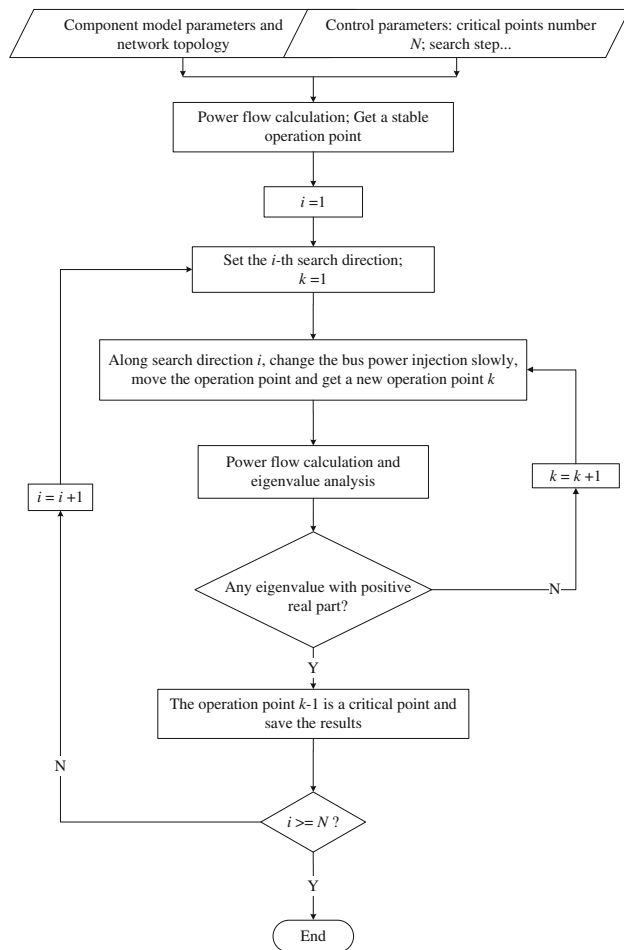
where  $\mathbf{J}(\mathbf{p}) = \frac{\partial \mathbf{f}}{\partial \mathbf{x}} - \frac{\partial \mathbf{f}}{\partial \mathbf{y}} \left( \frac{\partial \mathbf{g}}{\partial \mathbf{y}} \right)^{-1} \frac{\partial \mathbf{g}}{\partial \mathbf{x}}$ . According to eigen-value theory, the system operation state is stable if all eigenvalues of  $\mathbf{J}(\mathbf{p})$  possess negative real parts.

SSSR of a power system is the set of steady operation points which can maintain small signal stability. Usually, SSSR is defined in parameter space or power injection space. In this paper, SSSR of configuration  $i$  is defined in power injection space as

$$\Omega_{\text{SSSR}}(i) = \{\mathbf{p} | \text{all eigenvalues of } \mathbf{J}(\mathbf{p}) \text{ possess negative real parts, } \mathbf{p} \in \mathcal{O}^{2n} \subset \mathbf{R}^{2n}\} \quad (4)$$

where  $\mathbf{p}$ ,  $\mathcal{O}^{2n}$  and  $\mathbf{R}^{2n}$  are complex power injection vector of the power system, feasible power injection space of the power system considering the output limits of generators and real space of  $2n$  dimensions, respectively; and  $n$  is the number of power injection nodes in configuration  $i$ .  $\Omega_{\text{SSSR}}(i)$  is determined by the system configuration  $i$ .

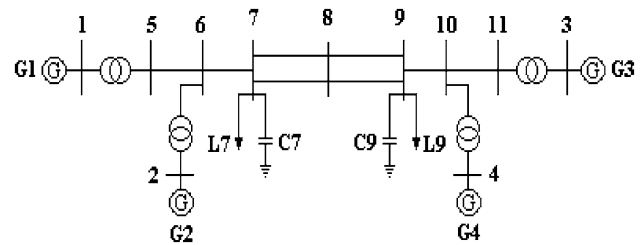
Early studies of SSSR mostly focused on the solvability of power flow equation to judge small signal stability of a power system [13, 14]. Therefore, the boundary of SSSR was linked with non-oscillation instability. With the extensive application of automatic regulatory systems and FACTS, power systems are faced with oscillation instability. Therefore, the dynamic features of these equipments need to be considered. Taxonomy theory in [15] serves as a powerful tool for small signal stability study in parameter space and state space. The influences of SVC, HVDC and network dynamics on SSSR were studied in detail in [16, 17]. It was found that the saddle node bifurcation (SNB) and Hopf bifurcation (HB) are very close to each other when some nonlinear components were taken into account in [18] and that is why there are rarely reports discussing about oscillation instability of voltage in real power



**Fig. 2** Flow Chart of SSSR calculation method

system. At present, it is agreed that the boundary of SSSR mainly corresponds to three types of local bifurcation points: SNB, HB and singularity induced bifurcation (SIB).

Since the topological properties of SSSR boundary of power systems with DFIG are not clear, “point-wise” based method is adopted to evaluate the boundary of SSSR in this paper. The calculation method is shown in Fig. 2.



**Fig. 3** Configuration of 11-bus system with four generators

## 4 Case studies

### 4.1 11-bus system with four generators

The 11-bus system with four generators is used as an illustrative example [19] and a power system analysis toolbox (PSAT) based on MATLAB is used to perform small signal stability analysis. The configuration of the 11-bus system is shown in Fig. 3. As a typical two-area system, it contains four generators and two load buses. The area of generators G1 and G2 is the side for sending power and the area of generators G3 and G4 is the side for receiving power. The detailed parameters of the system model are presented in [12].

In order to investigate the impact of doubly-fed wind farms on small signal stability of a power system, one equivalent DFIG is integrated into the system replacing part of the capacity of synchronous generators and the following three scenarios are considered.

- Scenario a There is no DFIG in the system
- Scenario b DFIG is connected to bus 2 through a transformer (side for sending power)
- Scenario c DFIG is connected to bus 3 through a transformer (side for receiving power)

In Scenario b and Scenario c, the active power of the synchronous generator in parallel with DFIG is adjusted to keep the system operation point unchanged.

**Table 1** Results of electromechanical oscillations

Electromechanical oscillation modes		No DFIG		DFIG connected to bus 2 ( $k = 0.3$ )		DFIG connected to bus 3 ( $k = 0.3$ )	
No.	Involved units	Damping ratio	Frequency (Hz)	Damping ratio	Frequency (Hz)	Damping ratio	Frequency (Hz)
1	G1/G2	0.0814	1.0541	0.1107	1.0270	0.0817	1.0539
2	G3/G4	0.0796	1.0898	0.0795	1.0899	0.0963	1.0737
3	G1/G2/G3/G4	0.0134	0.5345	0.0178	0.5517	0.0248	0.5963
4	G1/G2/G3/G4	—	—	0.1417	0.3247	−0.0951	0.1312

Note: ‘—’ denotes that there is no such oscillation mode



## 4.2 Modal analysis

To study the influences of DFIG on electromechanical oscillation modes, this paper performs modal analysis on different scenarios described in Sect. 4.1. The information about electromechanical modes is shown in Table 1, where  $k$  is the proportion of DFIG output in the power injection of the connected bus.

As shown in Table 1, before the integration of DFIG, there are three electromechanical oscillation modes in the system, two of which are local oscillation modes (No. 1 and No. 2) and the other is an inter-area oscillation mode (No. 3). Moreover, the integration of DFIG introduces a new inter-area electromechanical oscillation mode (No. 4). The original inter-area oscillation mode (No. 3) is referred to as inherent global electromechanical mode and the inter-area oscillation mode (No. 4) introduced by DFIG is referred to as introduction global electromechanical mode. Specially, there is an unstable electromechanical oscillation mode in Scenario c.

The damping ratio of electromechanical oscillation modes is shown in Figs. 4 and 5, respectively.

Modal analysis results illustrate that:

- 1) The integration of DFIG improves the damping ratio of local electromechanical oscillation modes of access region. With the increase of wind power penetration, the damping ratio also increases. It has little effect on the local electromechanical oscillation modes of the remote region.
- 2) Effects of DFIG on the inherent global electromechanical mode depend on the location of DFIG: when DFIG is connected to the receiving power side, the damping ratio of the mode increases while the integration of DFIG to sending power side has little effect on the mode.
- 3) Effects of DFIG on the introduction global electromechanical mode are as follows: when DFIG is connected to the sending power side, the damping ratio decreases with an increase of DFIG penetration, and an unstable oscillation mode appears when DFIG is connected to the receiving power side.

## 4.3 Small signal stability region (SSSR)

For the transmission system, it can be assumed that the reactive power at each node keeps locally balanced,

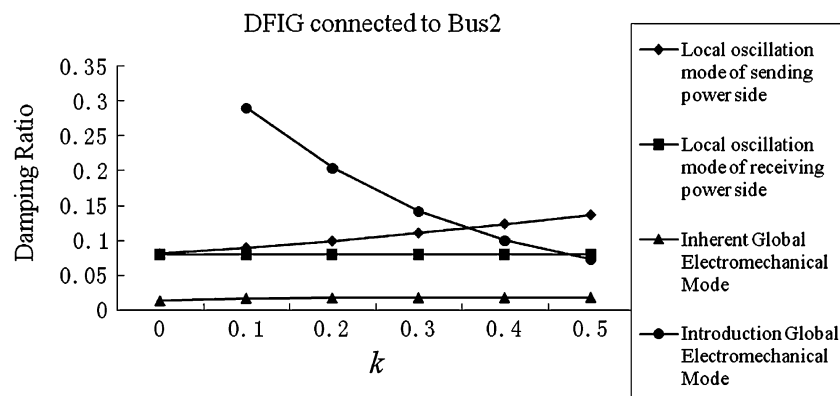


Fig. 4 Damping ratio of electromechanical oscillations (Scenario b)

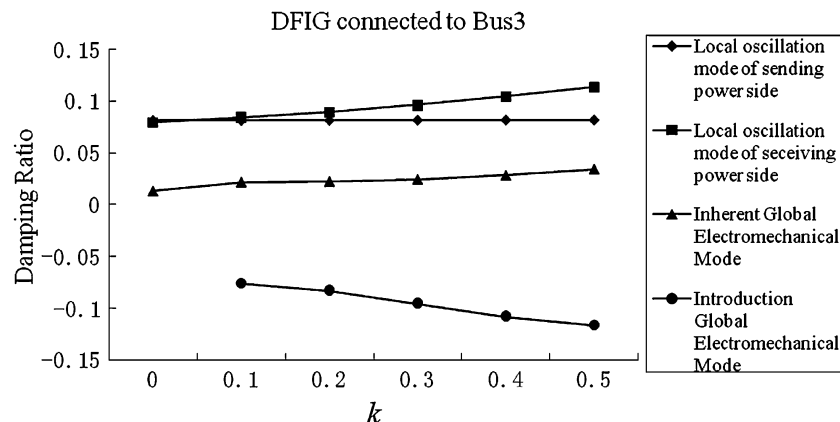
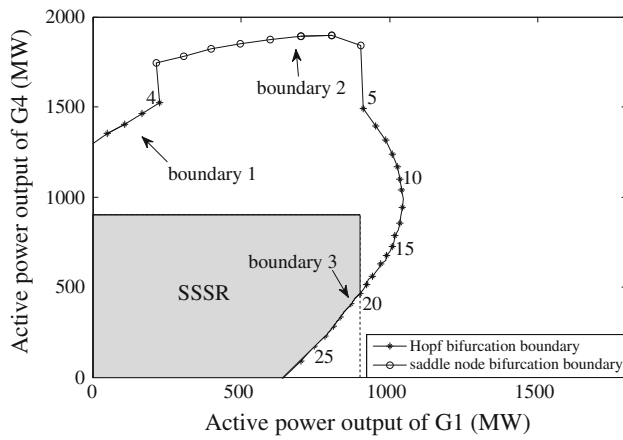
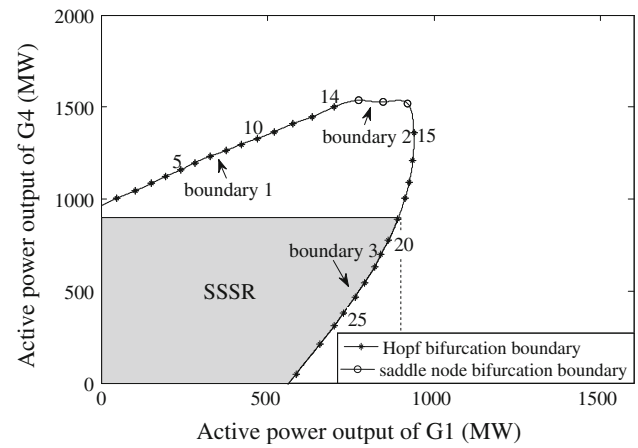


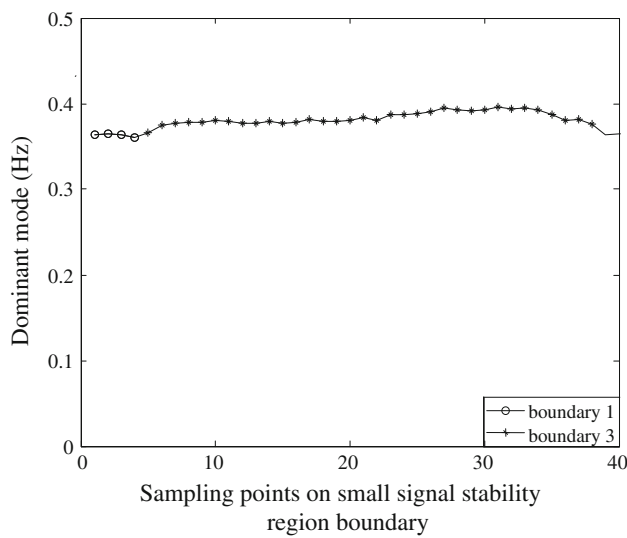
Fig. 5 Damping ratio of electromechanical oscillations (Scenario c)



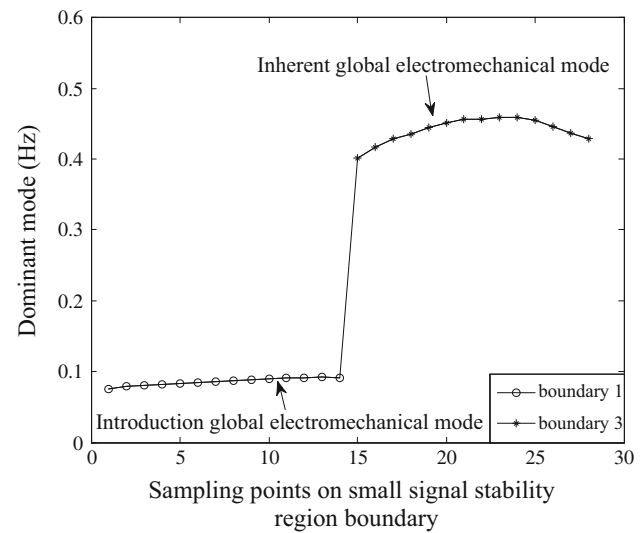
**Fig. 6** SSSR boundary of scenario a



**Fig. 8** SSSR boundary of Scenario b



**Fig. 7** Dominant oscillation modes of SSSR boundary (Scenario a)



**Fig. 9** Dominant oscillation modes of SSSR boundary (Scenario b)

therefore, the reactive power injections of all buses are constant. SSSR in this paper is defined in active power injection space, neglecting the power loss of the grid. The dimension of the SSSR is 5. To make better display of the boundaries of SSSR, we select the active power output of generators G1 and G4 as the variables of the power injection space and keep the others constant. In fact, the region computed in this section is a 2-dimensional cross-section of SSSR. For the system shown in Fig. 3, generator G1 is on the sending power side and G4 is on the receiving power side. Therefore, the selected 2-dimensional cross-section is a typical section.

#### 1) Scenario a

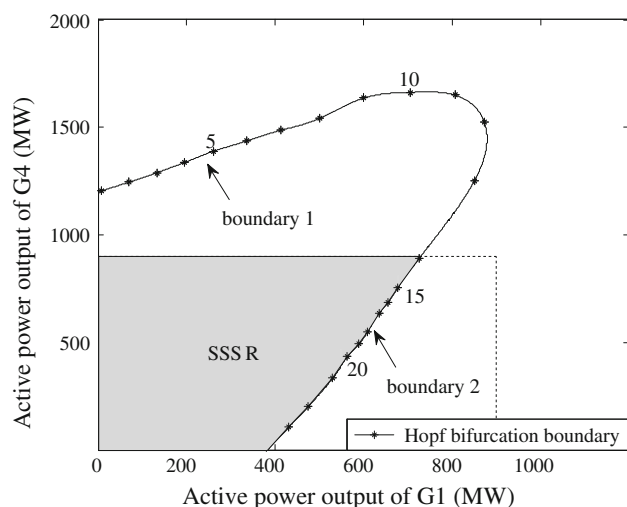
SSSR of scenario a is shown in Fig. 6. Without considering the active power output limits, SSSR boundary consists of several smooth sections, where boundaries 1

and 3 correspond to HB, and boundary 2 corresponds to SNB. There are sudden changes at joints of boundaries 1 and 2 and joints of boundaries 2 and 3, for the output of excitation system of generator G4 reaches its limit. Dominant oscillation modes of boundaries 1 and 3 are shown in Fig. 7, respectively. SSSR boundary corresponds to the global electromechanical oscillation mode. Considering generator active power output limits, SSSR (shadow area) boundary consists of part of boundary 3 and the generator output limits.

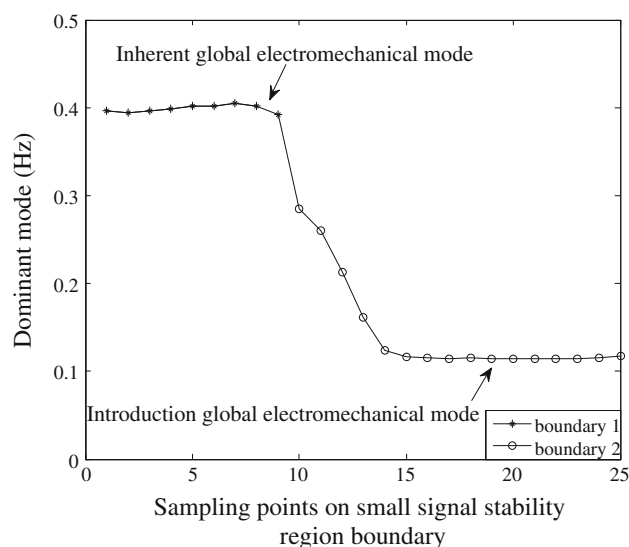
#### 2) Scenario b

SSSR of Scenario b ( $k = 0.3$ ) is shown in Fig. 8.

Without considering the active power output limits of generators, SSSR boundary consists of three smooth curves and two axes. The three smooth curves correspond to two oscillation modes and a non-oscillation mode. The dominant



**Fig. 10** SSSR boundary of scenario c

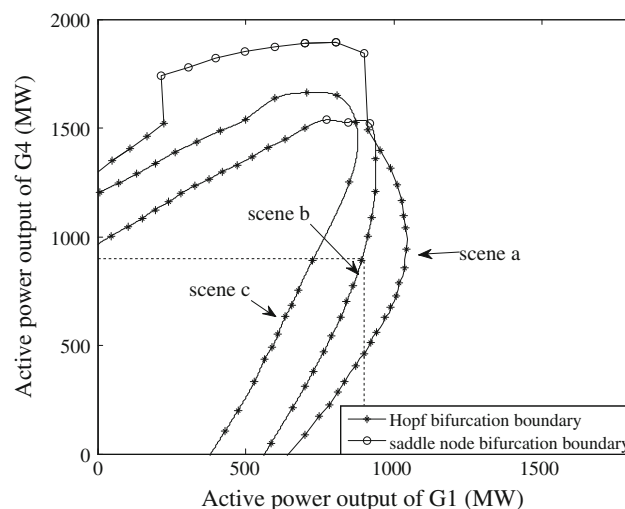


**Fig. 11** Dominant oscillation modes of SSSR boundary (Scenario c)

modes of boundaries 1 and 3 are shown in Fig. 9. Boundary 1 is linked to the inherent global electromechanical mode while boundary 3 corresponds to introduction global electromechanical mode. Considering the generator active power output limits, the boundary of SSSR (shadow area) consists of part of boundary 3 and the generator output limits.

### 3) Scenario c

As shown in Figs. 10 and 11, SSSR of Scenario c ( $k = 0.3$ ) consists of two smooth boundary parts and two axes. And boundary 1 corresponds to the inherent global electromechanical mode and boundary 2 is linked to introduction global electromechanical mode. Taking into account the active power output limits of generators, SSSR (shadow area)



**Fig. 12** Comparison of SSSR boundaries

**Table 2** Fitting results for SSSR boundary

Scenario	$\alpha_1$	$\alpha_2$	$\alpha_3$	$\alpha_4$	$\alpha_5$	Max fitting error (%)
a	0.1655	0.1535	0.0199	0.1167	0.041	1.15
b	0.2198	0.2227	0.0063	0.1519	0.0247	1.07
c	0.2159	0.2133	0.0330	0.1528	0.0394	1.96

boundary consists of part of boundary 2 and the generator output limits. Therefore, when DFIG is connected to receiving power side, the influences of DFIG are shown through the introduction global electromechanical mode.

### 4) Comparison of SSSR boundary

As shown in Fig. 12, in engineering applications, SSSR becomes smaller when DFIG is connected to the system, which means that the small signal stability of the power system will deteriorate.

### 5) Hyper-plane fitting

Reference [20] studied the hyper-plane fitting of the boundary of security region for traditional power systems. Whether SSSR of the power system with DFIG can be fitted by hyper-planes is a matter of concern. Simulation results show that the 2-dimensional cross-sections of SSSR are all of smooth characteristics. Therefore, the following inference can be drawn: in  $n$ -dimensional power injection spaces, SSSR of the power system with DFIG can still be fitted by hyper-planes in engineering applications. In case of neglecting power loss of the grid, the hyper-plane fitting results in  $n$ -dimensional injection spaces can be expressed as

$$\alpha_1 P_1 + \alpha_2 P_2 + \cdots + \alpha_{n-1} P_{n-1} = 1 \quad (5)$$



The fitting error ( $Err_{SSSR}$ ) is defined as

$$Err_{SSSR} = \frac{\left| \sum_{i=1}^{n-1} \alpha_i P_i - 1 \right|}{\sqrt{\sum_{i=1}^{n-1} \alpha_i^2} \cdot \sqrt{\sum_{i=1}^{n-1} P_i^2}} \quad (6)$$

where  $P_i$  ( $i = 1, 2, \dots, n-1$ ) is active power injection of Bus  $i$  and  $\alpha_i$  ( $i = 1, 2, \dots, n-1$ ) is the hyper-plane coefficient.

For the system studied in this paper, the active powers of buses 1, 2, 4, 7, 9 are selected as the power injection variables and Bus 3 is the swing bus. The least squares method is used to approximate the critical points selected with reference to orthogonal table with hyper-plane [21] (There may be more than one hyper-plane, and the one with most critical points is selected as the approximation of SSSR boundary). As shown in Table 2, the max fitting error is 1.96 %, which satisfies the needs of engineering applications. Therefore, SSSR of the power system with DFIG can be approximated by hyper-planes in engineering applications.

## 5 Conclusion

In this paper, the impact of DFIG on electromechanical oscillation modes of power systems are studied, and a new global electromechanical oscillation mode caused by the integration of DFIG is determined. Based on detailed studies of SSSR for power systems with DFIG, some of the relationships between SSSR boundary and electromechanical oscillation modes are characterized: ① the boundary of SSSR of power systems with DFIG consists of several smooth surfaces; ② in engineering applications, the boundary of SSSR can be approximated by hyper-planes; ③ the integration of DFIG makes SSSR smaller, which means it has negative impact on the small signal stability of power systems; ④ the location of DFIG has certain effects on SSSR of a power system.

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